

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter A.J. van der Made

Serial No.: 09/642,625

Filed: August 18, 2000

For: Computer Immune System and Method
for Detecting Unwanted Code in a
Computer System

COPY

Art Unit: 2122

INFORMATION DISCLOSURE STATEMENT

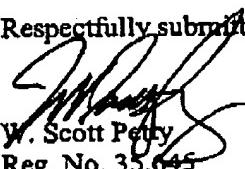
Commissioner of Patents
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

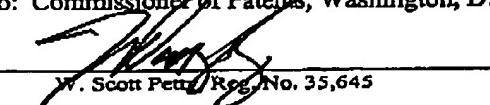
Respectfully submitted,


W. Scott Petty
Reg. No. 35,645

King & Spalding LLP
45th Floor, 191 Peachtree Street, N.E.
Atlanta, GA 30303
404.572.4600
K&S Docket: 05456.105041

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on February 2, 2003.


W. Scott Petty Reg. No. 35,645

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Sheet 1 of 3

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105041	SERIAL NO. 09/642,625	FILING DATE August 18, 2000
APPLICANT Peter A.J. van der Made	GROUP 2122	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,590,331	12/31/96	Lewis et al.			
AB	6,021,510	02/01/00	Nachenberg			
AC	6,003,132	12/14/99	Mann			
AD	5,999,723	12/07/99	Nachenberg			
AE	5,964,889	10/12/99	Nachenberg			
AF	5,765,030	06/09/98	Nachenberg et al.			
AG	5,826,013	10/20/98	Nachenberg			
AH	6,199,181	03/06/01	Rechef et al.			
AI	5,121,345	06/09/92	Lentz			
AJ	5,623,600	04/22/97	Ji et al.			
AK	5,649,095	07/15/97	Cozza			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
AL	0 636 977 A2	02/01/95	Europe	David Alan Chambers	
AM					
AN					
AO					

COPY**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AP	"Microsoft P-Code Technology," http://msdn.microsoft.com/archive/default.asp?url=/archive/en-us/dnarc/html/msdn_c7pcode2.asp , pp. 1-6, April 1992.
AR	"DJGPP COFF Spec," http://dejorie.com/djgpp/doc/coff/ , pp. 1-15, October 1996
AS	Nativig, Kurt, "Sandbox Technology Inside AV Scanners," Virus Bulletin Conference, September 2001, pp. 475-488.
AT	"Norman introduces a new technique for eliminating new computer viruses," found on Norman's website, file:///C:/Documents%20and%20Settings/7489\Local%20Settings\Temporary%20Internet%20Files\OLK, pp. 1-2, published October 25, 2001, printed from website December 27, 2002.

EXAMINER DATE CONSIDERED

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Sheet 2 of 3

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.	FILING DATE					
05456.105041		09/642,625	August 18, 2000					
APPLICANT				GROUP				
Peter A.J. van der Made				2122				
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	BA	5,978,917	11/02/99	Chi				
	BB	5,983,348	11/09/99	Ji				
	BC	6,029,256	02/22/00	Kouznetsov				
	BD	6,081,894	06/27/00	Mann				
	BE	6,094,731	07/25/00	Waldin et al.				
	BF	6,108,799	08/22/00	Boulay et al.				
	BG	6,119,165	09/12/00	Li et al.				
	BH	6,122,738	09/19/00	Millard				
	BI	6,272,641	08/07/01	Ji				
	BJ	6,324,627	11/27/01	Kricheff et al.				
	BK	6,338,141	01/08/02	Wells				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION		
						YES	NO	
	BL							
	BM							
	BN							
	BO							
COPY								
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
BP		Lee et al., "A Generic Virus Detection Agent on the Internet," System Sciences, 1997, Proceedings of the Thirtieth Hawaii International Conference on Wailea, HI, January 7-10, 1997, pp. 210-219, #XP010271868.						
BR		International Search Report for PCT/US01/19142, international filing date June 14, 2001, mailing date January 17, 2003.						
BS								
BT								
EXAMINER DATE CONSIDERED								

Sheet 3 of 3

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456,105041		SERIAL NO. 09/642,625	FILING DATE August 18, 2000	COPY				
APPLICANT Peter A.J. van der Made				GROUP 2122				
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
CA	6,397,242	05/28/02	Devine et al.					
CB	4,975,950	12/04/90	Lentz					
CC								
CD								
CE								
CF								
CG								
CH								
CI								
CJ								
CK								
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO			
CL								
CM								
CN								
CO								
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
CP								
CR								
CS								
CT								
EXAMINER DATE CONSIDERED								

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FORM PTO-1449	INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket Number (Optional) 81924.0001	Application Number 09/642,625
		Applicant Peter A.J. van der Made	
		Filing Date August 18, 2000	Group Art Unit 2122
<i>(Use several sheets if necessary)</i>			

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	International Search Report for PCT/US01/26804	03-06-02	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Andrew P. Kosoresow, et al., "Intrusion Detection Via System Call Traces," IEEE Software, pp. 35-42, September/October 1997.

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EXAMINER _____ **DATE CONSIDERED** _____

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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FORM PTO-1449	INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 81924.0001	Application Number 09/642,625
		Applicant Peter A.J. van der Made	
		Filing Date August 18, 2000	Group Art Unit 2122

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449	INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 81924.0001	Application Number 09/642,625
		Applicant Peter A.J. van der Made	
		Filing Date August 18, 2000	Group Art Unit 2122

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

Carey Stover Nachenberg, "A New Technique for Detecting Polymorphic Computer Viruses," A thesis submitted in partial satisfaction of the requirements for the degree Master of Science in Computer Science, University of California Los Angeles, pp. I-127, 1995.

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FORM PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (optional) 81924.0001	Application Number 09/642,625
Applicant	Peter A.J. van der Made
Filing Date August 18, 2000	Group Art Unit 2122

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 99/15966	04-01-99	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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PATENT
81924.0001
Express Mail Label No.: EJ194573541US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter A.J. van der Made

Serial No: Not Assigned

Filed: Herewith

For: COMPUTER IMMUNE SYSTEM
AND METHOD FOR DETECTING
UNWANTED CODE IN A
COMPUTER SYSTEM

Art Unit: Not Assigned

Examiner: Not Assigned

**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT**

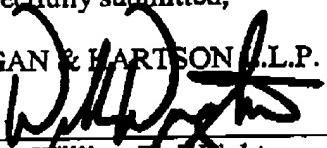
BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The information disclosure statement submitted herewith is being filed concurrently with the subject application [37 C.F.R. § 1.97(b)] and contains no items of information cited in any communication from a foreign patent office in a counterpart foreign application [37 C.F.R. § 1.97(e)(1)].

Respectfully submitted,

HOGAN & HARTSON, L.L.P.

By: 

William H. Wright
Registration No. 36,312
Attorney for Applicant(s)

Date: August 18, 2000

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Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

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FORM PTO-1449		Docket Number (Optional) 81924.0001	Application Number Not Assigned			
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Peter A.J. van der Made				
(Use several sheets if necessary)		Filing Date Herewith	Group Art Unit Not Assigned			
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,398,196	03-14-95	Chambers	364	580	
	5,675,711	10-07-97	Kephart, et al.	395	22	
	5,696,822	12-09-97	Nachenberg	380	4	
	5,864,803	01-26-99	Nussbaum	704	232	
	5,907,834	05-25-99	Kephart, et al.	706	20	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)
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